

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Akihiro MURATA

Application No.: 09/834,614

Filed: April 16, 2001

Attn: Box Missing Parts

Docket No.: 109278

For: THREE-DIMENSIONAL MOUNTED ASSEMBLY, METHOD OF  
MANUFACTURING THE SAME, AND OPTICAL TRANSMISSION DEVICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

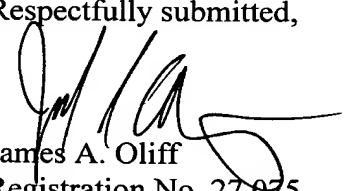
In response to the Notice to File Missing Parts of Application - Filing Date  
Granted (copy attached) mailed on June 5, 2001, submitted herewith is the executed  
Declaration of the inventor. Any specification attached to and referenced in the Declaration is  
a copy of the specification and any amendments thereto which were filed in the Office in  
order to obtain a filing date for the application.

Attached is our Check No. 121064 for ☒\$130.00 ☐\$65.00 (entitlement to  
small entity status is asserted) for the fee under 37 C.F.R. §1.16(e).

Entry of these documents should complete all of the filing formalities and fully  
satisfy all requirements of the Notice to File Missing Parts. Accordingly, examination and  
allowance of this application in due course are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any  
overpayment) associated with this communication to Deposit Account No. 15-0461. Two  
duplicate copies of this paper are attached.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/zmc  
Date: July 23, 2001

OLIFF & BERRIDGE, PLC  
P.O. Box 19928  
Alexandria, Virginia 22320  
Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE  
AUTHORIZATION  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,614	04/16/2001	Akihiro Murata	109278

25944  
OLIFF & BERRIDGE, PLC  
277 S. WASHINGTON STREET, SUITE 500  
ALEXANDRIA, VA 22314

CONFIRMATION NO. 3898

## FORMALITIES LETTER



\*OC00000006147740\*

Date Mailed: 06/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

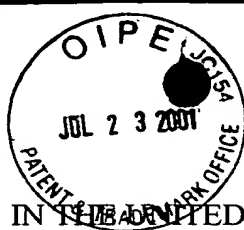
*MTB*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/22/2001 EABRANA1 00000005 09934614

01 FD:105

130.00 0P



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**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Akihiro MURATA

Application No.: 09/834,614

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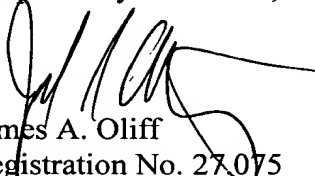
**REQUEST FOR CORRECTION OF PALM RECORDS**

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached is a photocopy of the original filing receipt on which errors have been corrected in red. These errors are being brought to the attention of the Patent and Trademark Office so that it may correct its records.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

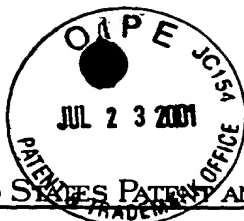
JAO:JSA/zmc

Date: July 23, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
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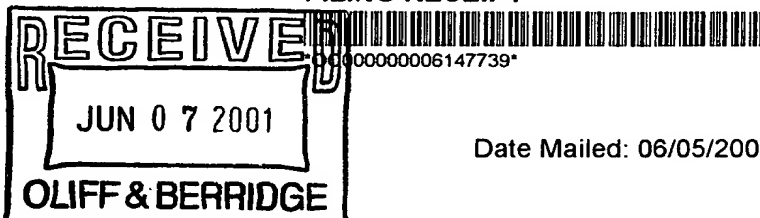
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/834,614	04/16/2001	2633	710	109278	9	20	2

CONFIRMATION NO. 3898

25944

OLIFF & BERRIDGE, PLC  
277 S. WASHINGTON STREET, SUITE 500  
ALEXANDRIA, VA 22314

## FILING RECEIPT



Date Mailed: 06/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

TAKANE -CHO  
Akihiro Murata, ~~Suwa-shi~~, JAPAN;

ASSIGNMENT FOR PATENT PUBLISHED APPLICATION:  
Domestic Priority data as claimed by applicant

SEIKO  
EPSON  
CORPORATION

## Foreign Applications

JAPAN 2000-120344 04/21/2000

If Required, Foreign Filing License Granted 06/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Three-dimensional mounted assembly, method of manufacturing the same, and optical transmission device

## Preliminary Class

359